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Box Patent Application
Assistant Commissioner For Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Douglas P. Hart *et al* for **Textured Seal For Reduced Wear**.

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the U.S. Postal Service on this date **July 17, 2000** in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number **EL 512 053 636 US** addressed to: **Box Patent Application**, Assistant Commissioner For Patents, Washington, D.C. 20231.

Linda P. Collins
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1. Type Of Application

This new application is for a(n)

- ☒ Original (nonprovisional)
☒ Continuation.

2. Benefit Of Prior U.S. Application(s) (35 U.S.C. §§ 119(e), 120, or 121)

- ☒ The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are **ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.**

3. Papers Enclosed That Are Required For Filing Date Under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application

- 17 Pages of Specification
3 Pages of Claims
1 Page of Abstract
9 Sheets of Informal Drawings

4. Declaration

- ☒ Enclosed
☒ Unexecuted.

5. Inventorship Statement

The inventorship for all the claims in this application is.

- ☒ the same

6. Language

- ☒ English

7. Assignment

- ☒ An unexecuted assignment of the invention to **Massachusetts Institute of Technology** is attached.
☒ Form PTO-1595 will follow.

8. Fee Calculation (37 C.F.R. § 1.16)

☒ Regular application

CLAIMS AS FILED

Number Filed	Number Extra	Rate	Basic Fee - \$690.00 (37 C.F.R. § 1.16(a))
Total Claims (37 C.F.R. § 1.16(c))	24 - 20 =	4 × \$18.00 =	\$72.00
Independent Claims (37 C.F.R. § 1.16(b))	3 - 3 =	0 × \$78.00 =	\$0.00
Multiple Dependent Claim(s), if any (37 C.F.R. § 1.16(d))	+ \$260.00 =		\$0.00

Filing Fee Calculation \$762.00

9. Fee Payment Being Made At This Time

☒ Enclosed☒ basic filing fee

\$762.00

Total Fees Enclosed \$762.00

10. Method of Payment of Fees

☒ Check in the amount of \$762.00

11. Authorization To Charge Additional Fees and Credit Overpayment

☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No.: 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

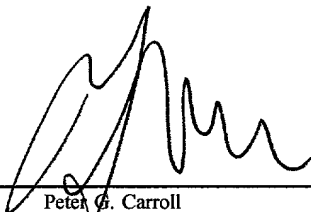
12. Power of Attorney by Assignee

☒ Unexecuted

13. Return Receipt Postcard

☒ Enclosed

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☒ Incorporation By Reference Of Added Pages☒ Plus Added Pages For New Application Transmittal Where Benefit Of Prior U.S. Application(s) ClaimedNumber of pages added 1

**ADDED PAGES FOR APPLICATION TRANSMITTAL
WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED**

14. Relate Back

B. 35 U.S.C. §§ 120, 121 and 365(c)

- ☒ Amend the Specification by inserting before the first line the sentence:
"This is a Continuation of copending application(s) 08/806,226 filed on
02/24/97."

15. Further Inventorship Statement Where Benefit Of Prior Application(s) Claimed

- a. This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor(s) in this application are
☒ the same.

TEXTURED SEAL FOR REDUCED WEAR

Field of the Invention

The present invention relates generally to seals, and more particularly to a significantly improved mechanical seal to improve lubrication and minimize wear and leakage.

Background

Seals, such as axial seals for bushings, are commonly used in the endless tracks of track-type earthmoving vehicles. In relatively clean environments, a small amount of inward radial load is required on the sealing lip to seal in the bearing lubricant.

However, as the operating environment becomes dirtier and more severe, higher lip loads are required to ensure good sealability and to keep the abrasive contaminants out.

The track bushings used in the endless tracks of earthmoving vehicles and the radial lip seals used in the pin joints of the loader linkages of earthmoving vehicles present one of the most severe operating environments possible for these kind of seals. In such extremely severe applications, abrasive contaminants such as mixtures of sand, dirt and water enter the joint and become lodged under the seal lip. Abrasives lodged under the seal lip quickly grind a groove into the pin/bushing and/or the seal and quickly wear out the seal lip and consequently the pin/bushing. This wear mechanism is the primary mechanism controlling the life of the seal.

The track bushings in the hinge joints of the endless tracks of earthmoving vehicles require a face seal to be subjected to a very high axial force in order to prevent the highly abrasive contaminants from being lodged under the seal lip. In radial lip seals, garter springs have been frequently employed about the seal lip to increase the inward radial load on the lip so as to enhance its sealing ability. Unfortunately, increasing axial force acts to limit the amount of lubricant reaching the contact surface, accelerating the deterioration of the seal.

What is needed is a mechanical seal that seals properly and is resistant to wear. Such a seal should be able to perform in very severe environments, such as those found in earthmoving applications.

Summary of the Invention

The invention encompasses the use of textured features on the contact surface of a seal to decrease the wear rate of mechanical seals caused by exposure to revolutions per minute or as a function of cycles of oscillations. The textured features of the present invention can serve to prevent incursion of dirt into the seal.

Though the mechanism is not intended to limit the scope of the invention, it is believed that by placing the textured features interior to the outer edge of the contact surface of the seal, localized high pressure points create a barrier to dirt; moreover, dirt that comes in contact with the textured features breaks up. The textured features can be deformed by the pressure placed on the surface of the seal face, and, therefore, serve as fluid wells to introduce lubricant to the contact surface. The number and interspacing of the textured features is a function, in part, of the extent and nature of the rotation of the seal surface.

The present invention encompasses devices and methods for producing a seal. In one embodiment of the device of the present invention, the present invention contemplates a seal, said seal having a contact surface with an inner peripheral edge and an outer peripheral edge, said contact surface being characterized by textured features interior to said outer peripheral edge. While the present invention is not limited by the shape of the seal, in some embodiments, the outer peripheral edge is curved, while in other embodiments, the outer peripheral edge is straight. In a preferred embodiment, the outer peripheral edge is raised.

The textured features can be protrusions, protrusions with recesses, indentations, or a combination thereof. In one embodiment, the textured features are interspaced at a distance of one fourth the distance said contact surface travels in one oscillation. While not intended to limit the scope of the invention, in one embodiment, the textured features are interspaced equidistantly. In such an embodiment, the preferred spacing of the protrusions to the circumference of the seal is approximately 1:200 to 1:50.

Though the present invention is not limited to the form of the protrusions, they may have a conical or cylindrical shape and a cross-sectional configuration of a

polygon, circle, ellipse or a combination thereof. In an embodiment with protrusions, the protrusions may be identical in size and shape. In yet another embodiment, the textured features are oriented in a direction perpendicular to said contact surface.

While the dimension of the recesses and protrusions do not limit the present invention, the depth of the recess to the outer diameter of the protrusion can be approximately 0.3:1 to 1.3:1 and the outer diameter of the recess to the diameter of the protrusions can be approximately 0.15:1 to 0.5:1.

While the present invention is not limited to the material from which the seal is constructed, the seal may be comprised of an elastomeric material *i.e.*, material resembling rubber such as polymers (polyvinyl, polyurethane, etc.), including but not limited to thermoplastic material. In such an embodiment, the protrusions and indentations may be of unitary construction and formed by integrally molding the elastomeric material.

It is not intended that the present invention be limited to the particular nature of the industrial application for the textured seals of the present invention. While textured seals are herein contemplated for circular and oscillatory motion, textured seals for linear motion are explicitly contemplated.

A variety of industrial applications involve linear motion. For example, the invention contemplates textured seals for slidably contacting surfaces such as those found in cylinder seals. In one embodiment, the textured seals of the present invention are used with cylinders that are pneumatic cylinders. In the preferred embodiment, the seals of the present invention are employed with hydraulic cylinders.

While the present invention is not limited by the particular design of the hydraulic cylinder, typically it has fluid lines, adjustable stroke, and piston cushioning features. As is typical in the art, the cylinder is closed on one end by a head and on the fluid input end by a cap. In one embodiment, a piston is mounted within the cylinder and includes a threaded, hollow piston rod which extends through the head and extends from one end of the hydraulic cylinder. While the present invention is not limited by the location of the seal in such a device, commonly the seal is set between

the piston and the cylinder. In operation, the body of the cylinder remains stationary while the rod end moves.

Another embodiment of the present invention contemplates a seal, comprising a resilient seal ring having a cylindrical configuration, said seal ring having a contact surface, an inner peripheral edge and an outer peripheral edge, said contact surface being characterized with a textured features interior to said outer peripheral edge. While not intended to limit the present invention, the seal ring and protrusions may have the same characteristics as described above.

The present invention also contemplates an assembly, comprising a first surface having an outer peripheral edge and textured features interior to said outer peripheral edge, with a second surface, said second surface being substantially flat and pressed against said first surface to produce a seal. In a preferred embodiment, the outer peripheral edge is raised and the protrusions are comprised of the same material as the first surface. Though the present invention is not limited to the material from which the first surface is constructed, the textured features may be shaped and spaced and the first surface may be constructed of a material as for the seals described above. Furthermore, while not intended to limit the scope of the present invention, the protrusions on the first surface may be biased towards the second surface. Alternatively, the textured features may be distributed on the second surface such that they are biased against the first surface interior to the outer peripheral edge of said first surface.

One embodiment of the methods of the present invention comprises providing an assembly as described above, a compression means, and compressing the first surface against the second surface under conditions such that a seal is produced.

Additional embodiments of the present invention further comprise a surface movement means and, after said compressing, moving at least one of said first and second surfaces with said surface movement means. While not intended to limit the invention to any one type of motion, such motion can be linear motion of one surface against the other surface. Alternatively, the motion can be circular or oscillating.

It must also be understood that although the preferred shape of a protrusion and/or a recess is a cylindrical shape, various other alternate embodiments are envisioned and encompassed in this invention.

5 In one aspect of the present invention, a seal having a textured sealing surface is disclosed. The seal comprises a mounting ring. A seal ring is bonded to the mounting ring. The seal ring has an inner peripheral edge, an outer peripheral edge, and a contact surface between the inner and outer peripheral edges. A plurality of circumferentially located protrusions are formed on the contact surface.

10 In another aspect of the present invention, a seal construction for sealing between a pair of components in relative rotational motion with respect to one another, is disclosed. The seal construction comprises a mounting ring. The mounting ring is fixed relative to a first component. The seal construction also comprises a seal ring bonded to the mounting ring. The seal ring has an inner peripheral edge, an outer peripheral edge, and a contact surface between the inner and outer peripheral edges. A
15 portion of the contact surface adjacent one of the outer and inner peripheral edges is biased towards a second component. The seal construction also comprises a plurality of circumferentially located protrusions formed on the contact surface.

20 In yet another aspect of the present invention, a track seal for the track hinge joint of an endless track for a track-type earthworking machine is disclosed. The track seal comprises a rigid mounting ring having a cylindrical configuration. The track seal also comprises a resilient seal ring bonded to the mounting ring. The seal ring has an inner peripheral edge, an outer peripheral edge, and a contact surface between the inner and outer peripheral edges. The track seal further comprises a plurality of circumferentially located protrusions formed on the contact surface. A recess is
25 formed within at least a portion of the plurality of protrusions.

30 In still another aspect of the present invention, in a seal having a rigid mounting ring and a seal ring bonded to the mounting ring, the seal ring having an inner peripheral edge, an outer peripheral edge, and a contact surface between the inner and outer peripheral edges, the seal ring being constructed from an elastomer, and the seal being adapted to seal by biasing at least a portion of the contact surface

adjacent one of the outer and inner peripheral edges of the seal ring against another component, an improvement is disclosed. The improved seal comprises a plurality of circumferentially located protrusions formed on the contact surface. At least a portion of the protrusions have a recess formed therein. The protrusions are spaced in a geometrically symmetrical pattern on the sealing surface.

Brief Description of the Drawings

FIG. 1a shows a partial plan view of the curved contact surface of one embodiment of the seal of the present invention.

FIG. 1b shows a partial plan view of the straight contact surface of one embodiment of the seal of the present invention.

FIG. 2a, FIG. 2b, FIG. 2c, FIG. 2d, FIG. 2e, FIG. 2f, FIG. 2g, FIG. 2h and while not limiting the scope of the present invention, show various embodiments of the shapes of the textured features of the present invention;

FIG. 3 is a diagrammatic representation, in cross-section, of the textured surface, according to one embodiment of the present invention;

FIG. 4 is an enlarged portion of the seal shown in FIG. 3;

FIG. 5 is a diagrammatic representation of another embodiment of the present invention, a track seal;

FIG. 6a, is an enlarged top view of a portion of the track seal shown in FIG. 5;

FIG. 6b is an enlarged top view of a portion of a track seal, according to another embodiment of the present invention;

FIG. 7 is a diagrammatic representation, in cross-section, of the apparatus used to measure the seal lip wear;

FIG. 8 is a graphical representation of the seal lip wear as a function of oscillation cycles, when a non-textured seal is oscillated for 0.94 million cycles against a steel plate submerged in a mud bath;

FIG. 9 is a graphical representation of the seal lip wear as a function of oscillation cycles, when a non-textured seal is oscillated for 0.53 million cycles against a steel plate, but without being submerged in a mud bath;

FIG. 10 is a photomicrograph showing a magnified view of the wear band of the non-textured seal lip of FIG. 8, after wear in a mud bath;

FIG. 11 is a photomicrograph showing a magnified view of the wear band of the non-textured seal lip of FIG. 9, after wear but without submersion in a mud bath;

FIG. 12 is a photomicrograph showing a magnified view of the wear band of the textured seal lip of the present invention, after being oscillated for 0.5 million cycles against a glass plate in a mud bath.

FIG. 13 is a photomicrograph showing a magnified view of the wear band of the non-textured seal lip, after being oscillated for 0.5 million cycles against a glass plate, in a mud bath.

FIG. 14 is a graphical representation of the comparison of seal lip wear as a function of oscillation cycles, between the textured seal of the present invention and a non-textured seal.

Definitions

The term "contact surface", as used herein, means the surface of a seal component (such as the surface of a seal ring) that is intended to be biased against a second surface to form a seal.

As used herein the term "outer peripheral edge", means the edge of the contact surface which is exposed to the harsh environment of dirt, debris, mud and other abrasive particles which would tend to wear the seal. When dirt and debris enters the seal from the outer edge, it comes up against a region of intensified contact on the contact surface where a "contact band" is defined.

The term "inner peripheral edge", as used herein, means the edge of the contact surface which is exposed to a clean environment such as clean air or lubricating oil.

The term "raised edge" means that the edge of the seal rises above the plane of the contact surface.

The term "textured feature" refers to a protrusion, a protrusion with a recess, indentations and/or combinations thereof.

The term "protrusion" as used herein refers to a bump, bulge, hump, lump, knob of various shapes and sizes that extends upward and/or outward from the plane of the contact surface.

5 The term "recess" as used herein refers to a void, hole, space, cavity, dent, crater, depression, hollow, or impression of various shapes and sizes extending downward and/or inward into a protrusion.

10 The term "rim" as used herein refers to the lip-like region created at the top of a protrusion when a recess is formed therein. In this embodiment, the recess with the rim can provide a lubrication "well" for the continuous introduction of small amounts of lubricant into the contact surface.

The term "indentation" as used herein, refers to a void, hole, space, cavity, dent, crater, depression, hollow, or impression that is formed in the contact surface (as distinct from recesses in protrusions).

15 The term "circumferentially located" as used herein refers to the placement of textured features toward the outer edge of the contact surface and in a manner whereby they are out of the plane of the contact surface (*e.g.*, perpendicular) as opposed to being placed radially (*i.e.*, in the plane of the contact surface). It is preferred that the textured features be placed interior to the contact band and at intervals (*i.e.*, with spacings between the textured features).

20 The term "rotating" as used herein refers to a motion whereby an object turns on an axis in a single direction. Though the present invention works particularly well at operation of low rotations per minute (*i.e.*, 60-120 per minute), it is contemplated that the methods and devices of the present invention also can be used at higher rotations per minute.

25 The term "oscillate" as used herein refers to a motion whereby an object moves in one direction for a distance before pausing and/or moving in the opposite or another direction for a distance. Preferably, the are spacing of the textured features correspond to one quarter of the distance travelled by the seal face in one oscillation. In one embodiment they are interspaced at 2.0 to 2.5 millimeter intervals.

The term "thermoplastic" as used herein refers to a material that is hard at ambient temperature yet is capable of becoming soft when heated and returns to being hard when cooled.

5 The term "elastomeric material" or "elastomer" as used herein refers to a rubber-like material.

The term "unitary construction" refers to an association of elements of the invention such that they are formed from the same piece of raw material without the need for further integration.

10 The term "integrally molding" as used herein refers to the method of casting such that features are of unitary construction.

The term "compression means" refers to any means of pressing surfaces together.

15 The phrase "seal is produced" refers to the compression of surfaces with an intention to or resulting in a substantial exclusion of solid or fluid from between those surfaces.

The term "surface movement means" refers to a means for causing a surface (such as a seal surface or surface against which a seal surface is biased) to change position relative to another surface or object.

Description of the Invention

20 Referring now to Fig. 1a, one embodiment of the present invention 1 is shown. In this embodiment, the contact surface of the seal is curved. Seal 1 has an outer peripheral edge 2, and inner peripheral edge 3 and a contact band 4. A plurality of circumferentially located protrusions 5 located interior to the outer peripheral edge (and interior to the contact band) are shown.

25 Referring now to Fig. 1b, another embodiment of the present invention 6 is shown. In this embodiment, the contact surface of the seal is straight. Seal 6 has an outer peripheral edge 7, and inner peripheral edge 8 and a contact band 9. As in Fig. 1a a plurality of protrusions 11, are formed on the contact surface interior to the outer peripheral edge (and interior to the contact band).

Referring now to Fig. 2a, Fig. 2b, Fig. 2c, Fig. 2d, Fig. 2e, Fig. 2f Fig. 2g, and Fig 2h, while not intended to limit the size and shape of possible protrusions, indentations and recesses and indentations, various alternate shapes of the protrusions, indentations and recesses are shown on a contact surface 36. Fig. 2a shows a
5 cylindrical shaped protrusion 41 with a cylindrical shaped recess 42. Fig. 2b shows a hemispherical shaped protrusion 41 with a cylindrical shaped recess 42. Fig. 2c shows a hemispherical protrusion 41 without a recess. Fig. 2d shows a cylindrical protrusion 41 with a recess 42. Fig. 2e shows a conical shaped protrusion 41 without a recess. Fig. 2f and Fig. 2g show a conical shaped protrusion without and with a recess
10 respectively. Fig. 2h is an example of an indentation, 44, into the contact surface, 36.

In the preferred embodiment of the present invention, the plurality of protrusions are formed interior to the outer peripheral edge of a seal ring which is biased against a sealing surface. The placement of the circumferentially located protrusions adjacent but interior to the outer peripheral edge is preferable because these
15 protrusions provide a point of intensified contact that redirects the incoming dirt and debris particles out of the contact band and into the low pressure areas. This washes away the abrasive particles and prevents them from accumulating in the contact band. Since the particles do not accumulate in the contact band, the wear is significantly reduced. For example, in a D10 track seal the contact band at the nominal face load
20 of 500 pounds is 1.6 millimeters. The innermost part of the textured features is 1.2 millimeters from the edge of the seal lip.

In some cases, the placement of the textured features inside of the contact band may require that the size of the textured features be customized to suit the nature of the seal. For example, while not intended to limit the scope of the present invention,
25 for seals that have a small contact band, the corresponding textured features should be smaller. If the smallest textured feature possible (*i.e.*, according to the limits of manufacturability) is still too big for the contact band, the textured feature may be placed partly within the contact band. Ideally, the spacing between textured features should follow the size of the patterns. While the present invention is not intended to
30 be limited by a precise mechanism, it is believed that the textured features of the seal

break up dirt particles and disperses clumps of dirt that roll up into the contact band. To maintain their effectiveness in this function, the textured features should be smaller than the distance traveled by the fronts of entering dirt. For a D10 track seal, for example, it was found that this distance should be between 2 and 5 millimeters.

Referring now to Fig. 3 which shows the geometrical relationships between the various dimensions of the protrusions and the recesses formed therein, the spacing between successive protrusions is designated as **d1**. The depth of a recess is designated as **d2**. The height of the protrusion measured above the contact surface is designated as **d3**. The diameter of a protrusion having a circular cross sectional configuration is designated as **d4**. The diameter of a recess having a circular cross sectional configuration is designated as **d5**. In the preferred embodiment, each protrusion has a cylindrical shape and a circular cross sectional configuration.

In one embodiment of the present invention, the ratio of the depth **d2** of the recess to the outer diameter **d4** of the protrusion is in the range of desirably about 0.3:1 to about 1.3:1, and preferably about 1:1. The ratio of the depth **d2** of the recess to the perpendicular distance **d3** between the third surface of the protrusion and contact surface is desirably in the range of about 2:1 to about 8:1, and preferably about 4:1. The ratio of the diameter **d5** of the recess to the outer diameter **d4** of the protrusion is desirably in the range of about 0.15:1 to about 0.5:1, and preferably about 0.25:1. The above geometrical relationships are desirable because it has been observed that these relationships contribute to the textured seal of the present invention exhibiting a very high wear life as compared to a non-textured seal, as will be shown in the test data disclosed in the following paragraphs.

In one embodiment, the protrusions are equidistantly spaced apart from one another. Referring again to Fig. 3, the ratio of the equidistant spacing **d1** between successive protrusions to the circumference of the circle upon which these protrusions are circumferentially formed on said sealing ring is desirably in the range of about 1:200 to about 1:50, and more desirably in the range of about 1:125 to about 1:90, and preferably about 1:103. The above equidistant spacing is desirable because the results of the experiments carried out in which the geometry, as well as the location, of the

protrusions was varied showed that this spacing is the optimal spacing for producing the highest wear resistance and greatest lubricating properties.

Referring now to Fig. 4, the protrusion has a first surface 411, a second surface 412 and a third surface 413. The first surface is perpendicular to the contact surface 36. The second surface is curved and connected to the first and third surfaces. The third surface is parallel to the contact surface 36. Preferably, the recess 42 is formed concentrically within the protrusion. Desirably, the recess extends in a direction perpendicular to the third surface 413 of protrusion 41.

Referring now to Fig. 5, another embodiment of the present invention 10 is shown. Seal 10 has a mounting ring 20, a seal ring 30 bonded to mounting ring 20, an outer peripheral edge 32, and inner peripheral edge 34 and a contact surface 36. A plurality of circumferentially located protrusions 41, 41', 41'', 41''' are formed on the contact surface.

Referring now to Fig. 6a, which shows another embodiment of the seal of the present invention, each of the plurality of protrusions 41 respectively has a recess 42 formed therein. Preferably, the recess 42 is formed concentrically within the protrusions 41.

Referring to Fig. 6b, which shows an alternate embodiment of the present invention, the contact surface 36 has a plurality of protrusions 41. In the alternate embodiment, a recess is formed in a portion of the plurality of protrusions.

In one embodiment of the present invention, the mounting ring is of a cylindrical configuration and made from a rigid, organic, plastic material. The seal ring is of a cylindrical configuration and made from an elastomeric material (e.g., a rubber-like material, polyvinyl, polyurethane, thermoplastic, etc.). Such materials are well known to those skilled in the art and need not be discussed in any further detail here. In another embodiment, the protrusions and the recesses are formed on the contact surface by integrally molding these protrusions and recesses into the seal ring.

Referring to Fig. 7, an apparatus 100 is shown. The apparatus 100 was used for measuring the seal wear as a function of time. To demonstrate that abrasive particles in soil and mud accelerated the wear of the seal lip, apparatus 100 includes a

rotatable fixture 60 within which a face seal 10 is mounted. Seal 10 has a mounting ring 20, a seal ring 30, an outer peripheral edge 32, an inner peripheral edge 34, and a contact surface 36. The seal is biased against a bushing 50 at a surface adjacent the outer peripheral edge 32. The area of the contact surface where the seal is biased against the bushing is called a contact band. The bushing 50 is a steel bushing. An electric motor, not shown in the figure, is connected to the steel bushing and rotates the steel bushing with respect to the seal. The seal can be submerged in a bath of mud. The wear is measured by a pair of slender metal rods 71,72. One rod is connected to the seal 10 and the other rod is connected to the bushing, and the amount of wear is measured by monitoring the incremental increasing distance w between the respective ends of the slender metal rods 71,72 as shown in Fig. 7.

EXAMPLE A

A seal was mounted in an apparatus shown in Fig. 7. The seal was biased against a steel bushing. The seal lip thickness under load was 1 mm at the beginning of the experiment. The steel bushing was oscillated $\pm 20^\circ$. Two separate experiments were done with this setup to show how the seal wore as a function of the number of oscillations of the seal face when (i) the seal was submerged in a mud bath and (ii) when the seal was not submerged in a mud bath.

Referring now to Fig. 8, it is seen that the seal lip wore rapidly as the number of oscillations increased when the seal was submerged in a mud bath. The seal lip thickness, which was 1 mm at the beginning of the test, dropped down to about 0.65 mm at half a million cycles and at 1 million cycles, averaged about 0.6 mm. Thus, 40% of the seal had worn at about 1 million cycles.

Referring now to Fig. 9, it is seen that the seal lip thickness, as a function of the number of cycles, remained constant when the seal was not submerged in a mud bath. The test was stopped after half a million cycles because there was no appreciable reduction in the thickness of the seal lip. It was thus concluded that sand and clay particles, and other abrasive media present in the mud, accelerated the wear of the seal. It was concluded that these abrasive particles formed a penetrating front

which penetrated the seal wear band, formed by the contact of the seal lip against the bushing, from the outside to the inside and caused the seal to wear rapidly and lose its sealing properties. It was observed that the wear in the seal took place in two stages. In the first stage, small particles entered the seal lip contact surface and wore away the seal lip leaving a smooth surface. As the seal lip wore further, bigger particles entered the seal lip contact surface and wore down the seal by creating grooves and cracks. Further wear of the seal was caused by the attachment of the abrasive particles to one of the surfaces. Fig. 10 shows the photomicrograph of the seal wear band of the seal tested in the presence of mud. Fig. 11 shows the seal wear band of the seal tested without mud at the end of the experiment.

EXAMPLE B

In this example, a seal was biased against a flat glass plate and a video camera was used to continuously monitor the seal wear band in real time. The seal had a outer diameter of about 83 mm and an inner diameter of about 73 mm. The width of the seal face contact band when it was biased against the glass plate, was about 1.5 mm. About one hundred protrusions were formed on the contact surface and these protrusions were circumferentially located on the contact surface at a equidistant spacing of about 2.5 mm. Each protrusion had a recess formed therein. The recess had a depth of about 0.65 mm. The protrusions had a height of about 0.15 mm and an outer diameter of about 0.65 mm. These protrusions were formed circumferentially on the contact surface adjacent the outer peripheral edge of the seal ring. The seal was oscillated $\pm 20^\circ$ which translated into a circumferential travel of about 14 mm across the face of the glass plate. When the seal was biased against the glass plate, a portion of the contact surface and a portion of the protrusions were biased against the glass plate.

Two identical sets of experiments were conducted, one with the seal having the protrusions as shown above and the other without any protrusions. In both the experiments, the seals were submerged in a mud bath. The size of the wear contact

band for the textured seal and the plain seal were recorded as a function of the number of cycles.

The amount of wear incurred by the seal was calculated as follows: the total wear was determined by dividing the width of the wear band by the width of the initial contact band.

The term "wear band", as used herein, means the wear patch formed on the contact surface as the dirt and debris began to wear the seal. As the seal wore, the wear band, which had an initial contact band width of 1.5 mm, incremental grew larger.

Figs. 12 and 13 show the magnified photomicrographs of the wear bands of contact surfaces of the textured and the non-textured seal after being tested in a mud bath for 500,000 cycles and 940,000 cycles respectively. Fig. 14 shows a graph wherein the amount of wear is shown as a function of the number of cycles for a plain and a textured seal.

Looking at Fig. 14, it can be seen that the textured seal exhibited a wear of about 0.2 at the end of about 540,000 cycles, whereas a plain seal exhibited a wear of about 1.6 at 540,000 cycles. Because the initial contact band width of both the plain and textured seals was 1.5 mm at the beginning of the experiment, this means that the width of the textured seal wear band was 0.2 times 1.5, i.e., 0.3 mm, whereas the width of the plain seal wear band was 1.6 times 1.5, i.e., 2.4 mm. Thus, for the same number of cycles when tested in the same environment, the textured seal exhibited about 800% reduced wear as compared to the non-textured or the plain seal.

EXAMPLE C

In a separate test, another textured seal identical to the one used in Example B was tested using the same conditions, for 10 million cycles. The wear observed at the end of 10 million cycles for that textured seal was 0.27. Thus, the textured seal had a wear band width of about 0.27 times 1.5, i.e., 0.4 mm. This represents a significant improvement in the wear life of a seal using the improvement according to the present invention as compared to a plain seal.

EXAMPLE D

In one embodiment of the present invention, a seal having a textured sealing surface comprises a mounting ring. A seal ring is bonded to the mounting ring and the seal ring has an inner peripheral edge and outer peripheral edge and a contact surface between the inner and outer peripheral edges. A plurality of circumferentially located protrusions are formed on the contact surface. In this embodiment, a recess is formed within at least a portion of the plurality of protrusions and preferably a recess is formed within all of the protrusions. The protrusions improve both the seal lubrication and the wear resistance by distributing more oil lubricant at the seal interface and by interfering with the entering front of dirt and debris particles. The formation of a recess within the protrusion is preferred because the oil trapped in the recess is distributed to the contact surface as the seal oscillates back and forth. This additional lubricant present in the recess is delivered directly to the contact band of the interface between the contact surface and the surface that the contact surface is biased against. Consequently, the formation of the recess within the protrusion improves the lubrication and reduces friction.

In one embodiment of the present invention, the plurality of protrusions desirably have one of a cylindrical or a conical shape, and preferably a cylindrical shape. The plurality of protrusions also have a cross sectional configuration desirably selected from one of polygon, circle, ellipse or combinations thereof. Preferably the protrusions have a cross sectional configuration of a circle. In this embodiment, the recess desirably has one of a cylindrical or a conical shape, and preferably a cylindrical shape. The recess also has a cross sectional configuration desirably selected from one of polygon, circle, ellipse or combinations thereof, and preferably a circle.

In one embodiment of the present invention, the plurality of protrusions are identical to one another in shape and size. It is desirable to have the protrusions which are the same in shape and size because the protrusions provide a point of intensified contact and aid in redirecting incoming dirt and debris particles out of the contact band of the contact surface and direct the incoming dirt and debris particles into the low pressure areas. Further, the protrusions are preferably identical to one another in size

because they provide a continuous seal surface even in the absence of additional contact pressure.

In the preferred embodiment, at least a portion of the plurality of protrusions are oriented in a direction perpendicular to the contact surface, and preferably all the protrusions are oriented in a direction perpendicular to the contact surface.

Industrial Applicability

It is not intended that the present invention be limited to the particular nature of the industrial application for the textured seals of the present invention. While textured seals are herein contemplated for circular and oscillatory motion, textured seals for linear motion are explicitly contemplated.

The present invention is also particularly useful for making radial seals such as rotating shaft seals and oscillating face seals for application in the automotive, construction equipment and earthmoving industry. The present invention is particularly useful for making axial face seals such as track bushing seals that undergo a slow oscillatory motion and which are subjected to a severe external environment of mud, dirt and debris. Such seals made according to the present invention exhibit vastly improved wear life and sealability. The present invention is also useful for making high speed rotating shaft seals such as those used in the automotive industry and in the home and commercial appliance industry. For example, this invention is particularly useful for making radial shaft seals for pumps, motors used in various commercial equipment and also in home appliances, such as dishwashers, for example.

Other aspects, objects and advantages of this invention can be obtained from a study of the disclosure, the drawing and the appended claims.

CLAIMS

1. A seal, comprising:
 - a) a mounting ring;
 - b) a seal ring attached to said mounting ring, said seal ring comprising a contact surface, said contact surface comprising i) an inner peripheral edge, ii) an outer peripheral edge, and iii) textured features, wherein said textured features are positioned interior to said outer peripheral edge.
2. The seal of Claim 1, wherein said textured features are selected from the group consisting of protrusions and indentations.
3. The seal of Claim 2, wherein said textured features are protrusions having recesses.
4. The seal of Claim 2, wherein said textured features are protrusions having cylindrical shape.
5. The seal of Claim 2, wherein said textured features are protrusions and each protrusion is of an identical shape.
6. The seal of Claim 2, wherein the spacing of each of said textured features is equidistant.
7. The seal of Claim 2, wherein said textured features are of unitary construction.
8. The seal of Claim 2, wherein said textured features are formed by integrally molding elastomeric material.
9. The seal of Claim 1, wherein said outer peripheral edge is curved.

10. The seal of Claim 1, wherein said outer peripheral edge is substantially straight.
11. The seal of Claim 1, wherein said outer peripheral edge is raised.
12. An seal, comprising:
 - a) a mounting ring;
 - b) a seal ring attached to said mounting ring, said seal ring comprising a contact surface, said contact surface comprising i) an inner peripheral edge, ii) a raised outer peripheral edge, and iii) textured features, wherein said textured features are position interior to said outer peripheral edge.
13. The seal of Claim 12, wherein said textured features are selected from the group consisting of protrusions and indentations.
14. The seal of Claim 13, wherein said textured features are protrusions having recesses.
15. The seal of Claim 13, wherein said textured features are protrusions having cylindrical shape.
16. The seal of Claim 13, wherein said textured features are protrusions and each protrusion is of an identical shape.
17. The seal of Claim 13, wherein the spacing of each of said textured features is equidistant.
18. The seal of Claim 13, wherein said textured features are of unitary construction.

19. The seal of Claim 13, wherein said textured features are formed by integrally molding elastomeric material.

20. The seal of Claim 12, wherein said outer peripheral edge is curved.

21. The seal of Claim 12, wherein said outer peripheral edge is substantially straight.

22. A seal assembly, comprising:

a) a first surface, said first surface comprising an outer peripheral edge and textured features interior to said outer peripheral edge, wherein said textured features comprise protrusions extending outward from said first surface; and

b) a second surface in motion relative to said first surface; wherein said first surface contacts said second surface under conditions such that a seal is produced.

23. The assembly of Claim 22, wherein the motion of said second surface is linear.

24. The assembly of Claim 22, wherein the motion of said second surface is oscillating.

Abstract

Textured Seal for Reduced Wear

The present invention describes a contact surface of a seal having textured features. The contact surface seals properly and is resistant to wear. The contact surface is able to perform in very severe environments, such as those found in earthmoving applications.

5

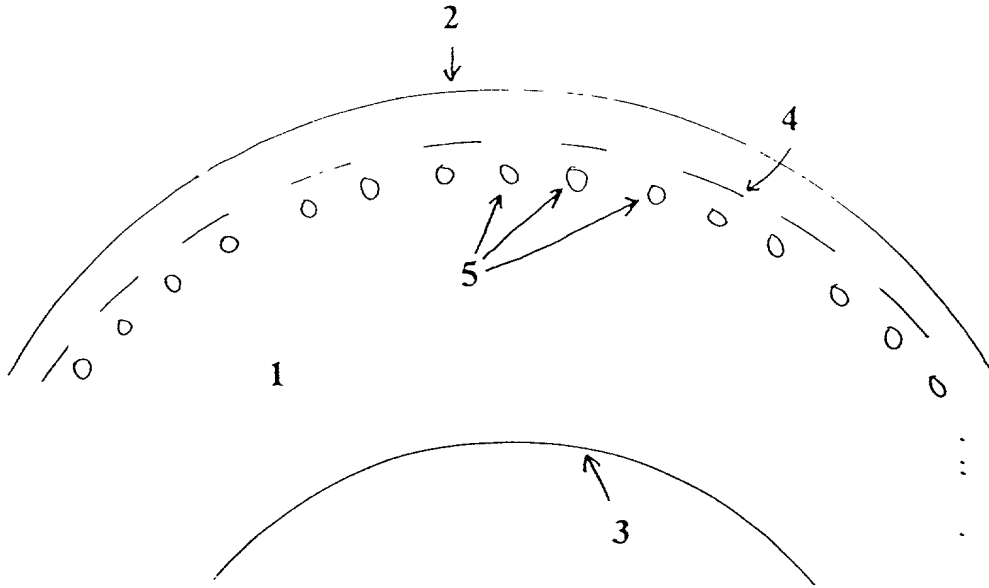


FIG. 1a

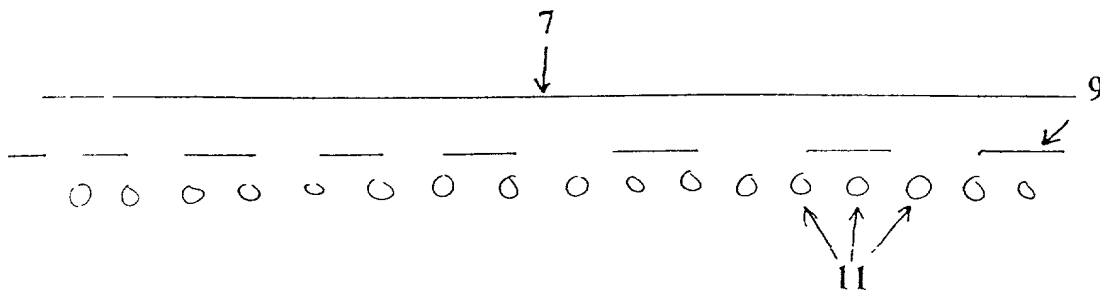


FIG. 1b



FIG. 2a

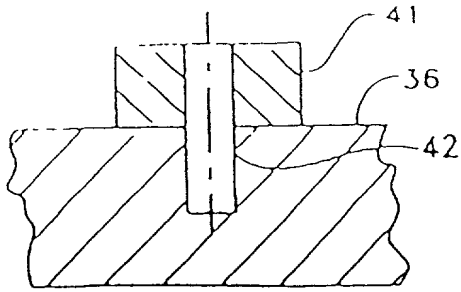


FIG. 2b

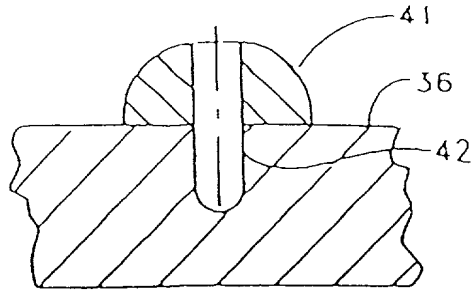


FIG. 2c

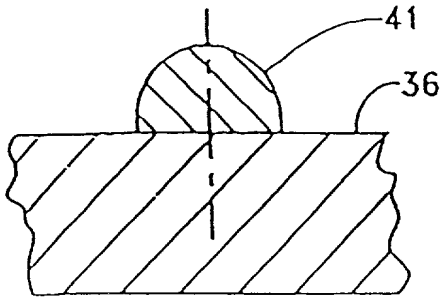


FIG. 2d

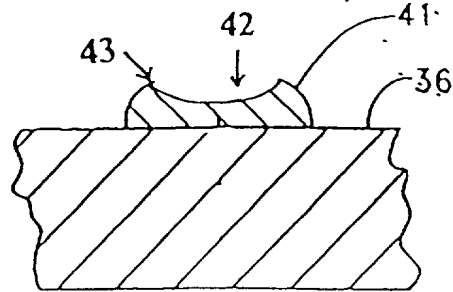


FIG. 2e

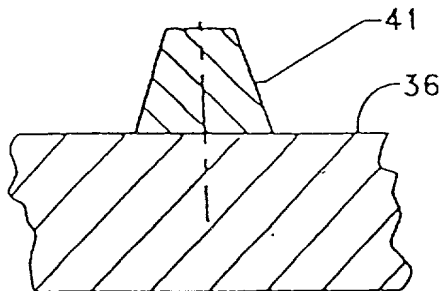


FIG. 2f

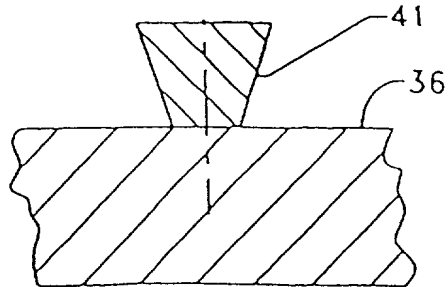


FIG. 2g

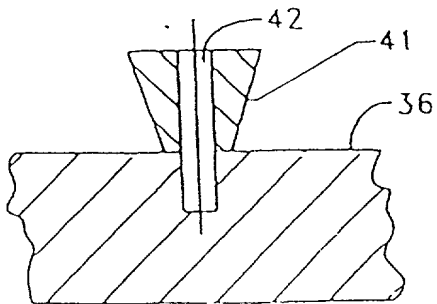


FIG. 2h

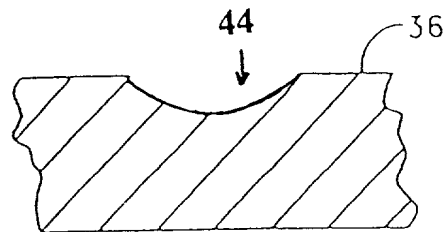


Fig-3-

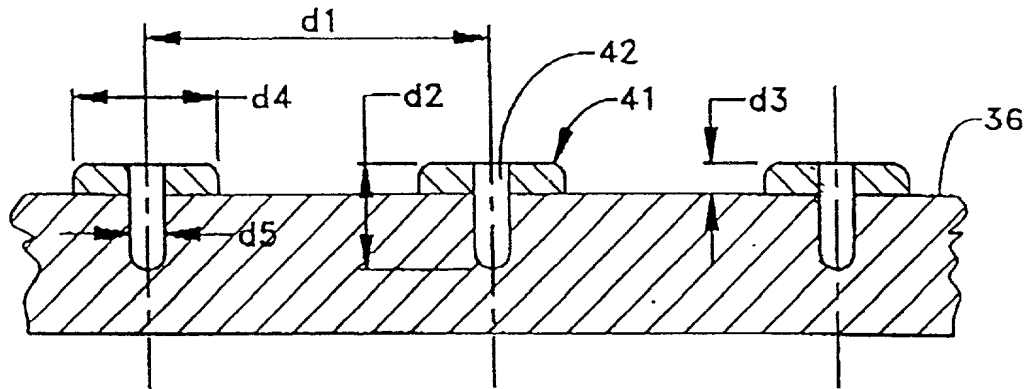
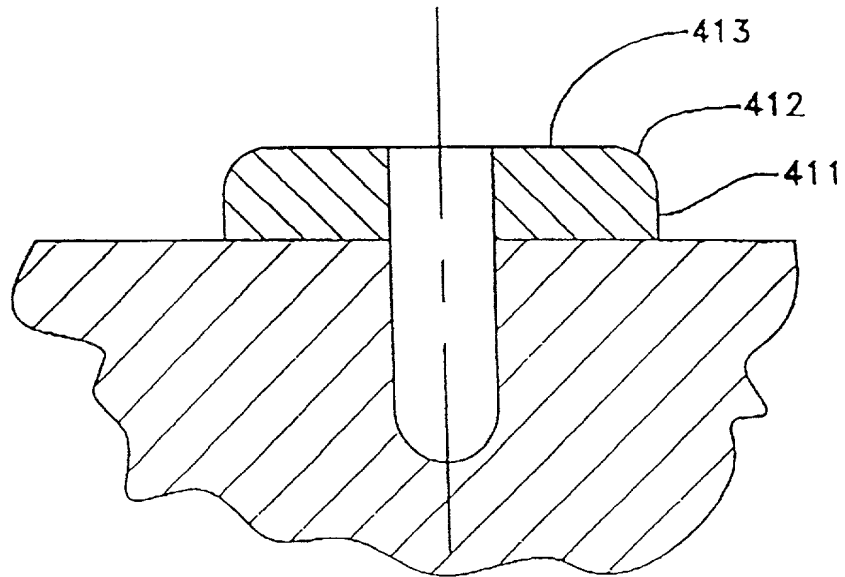


Fig-4-



1/9



1/9



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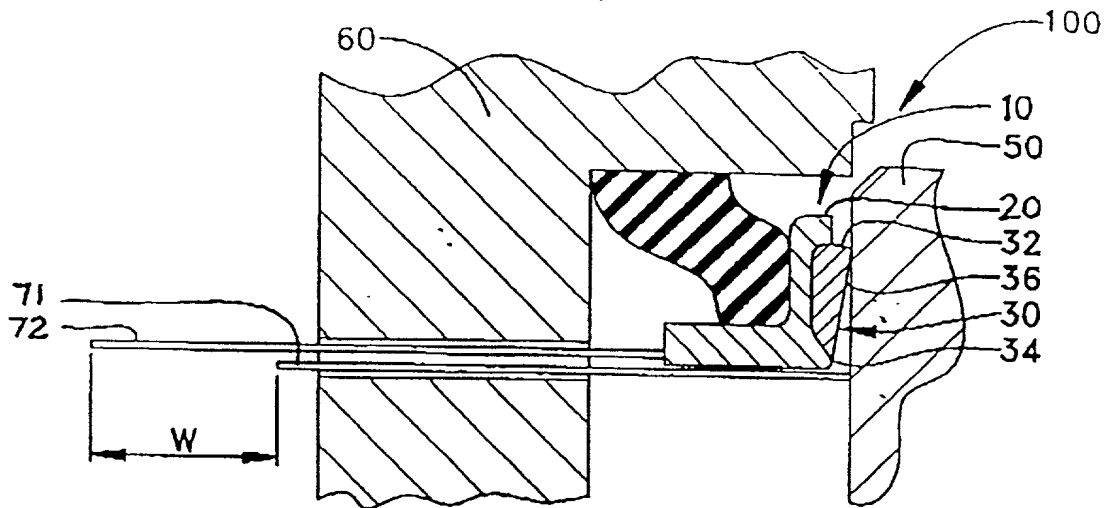
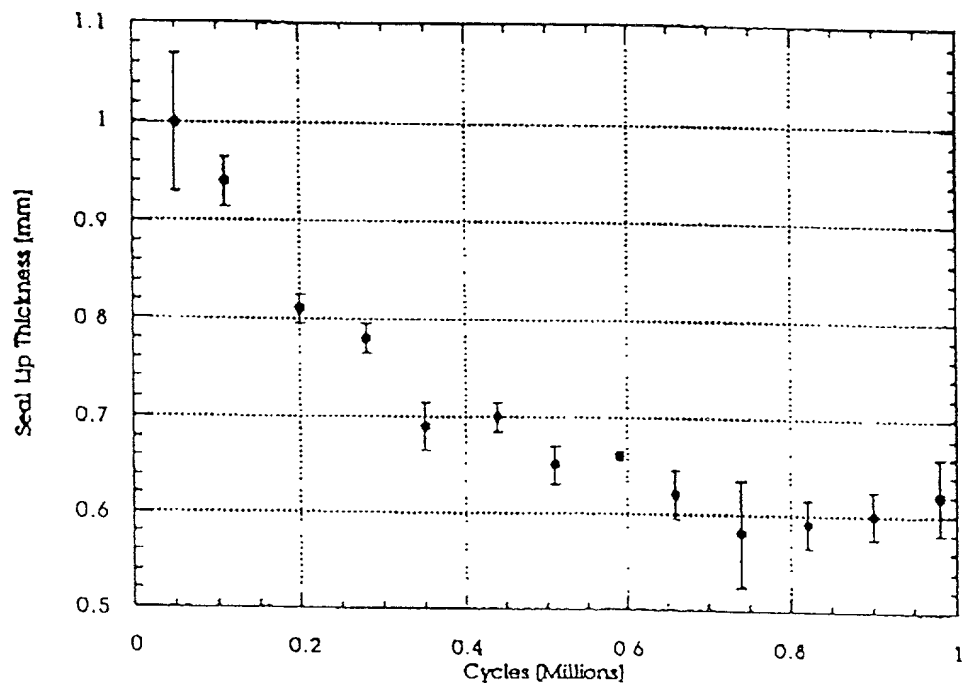
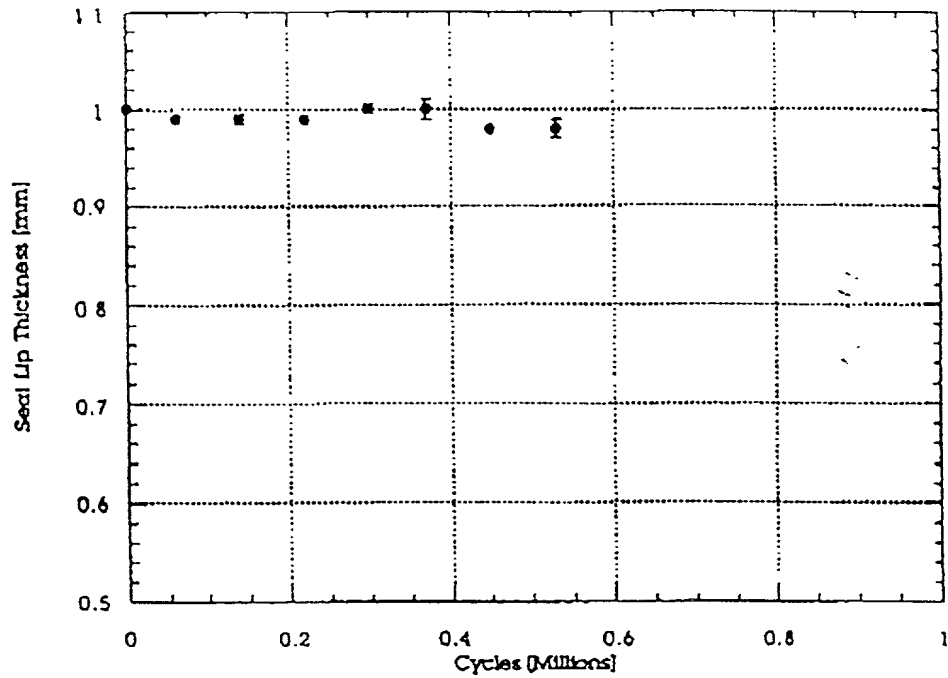
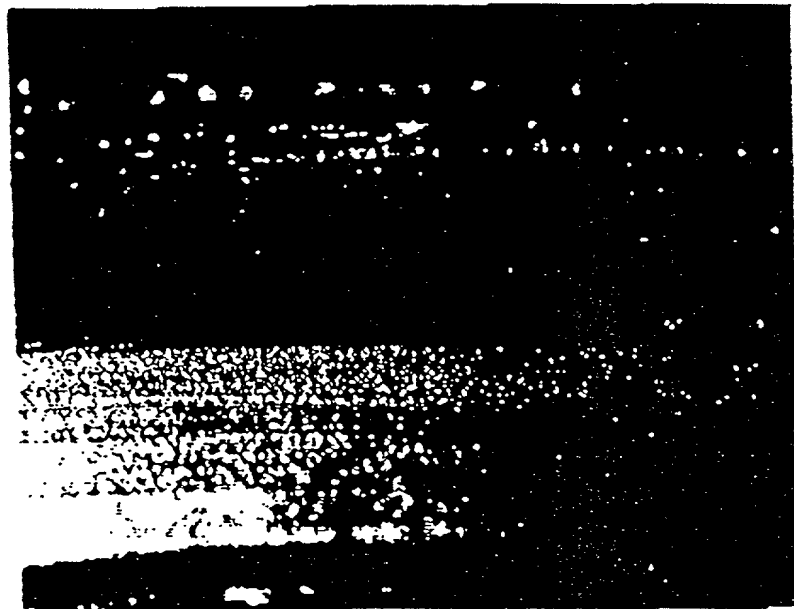
Fig-7Fig-8

Fig-9-Fig-10-

The image is a high-contrast, black and white photograph of a textured surface, likely the cover or endpaper of an old book. The texture is grainy and uneven, with numerous small, light-colored specks and fibers visible against a dark background. A prominent, dark, irregular border runs along the top edge of the image, suggesting a binding or a piece of tape. The overall appearance is aged and worn.

FIG. 11

Fig-12-

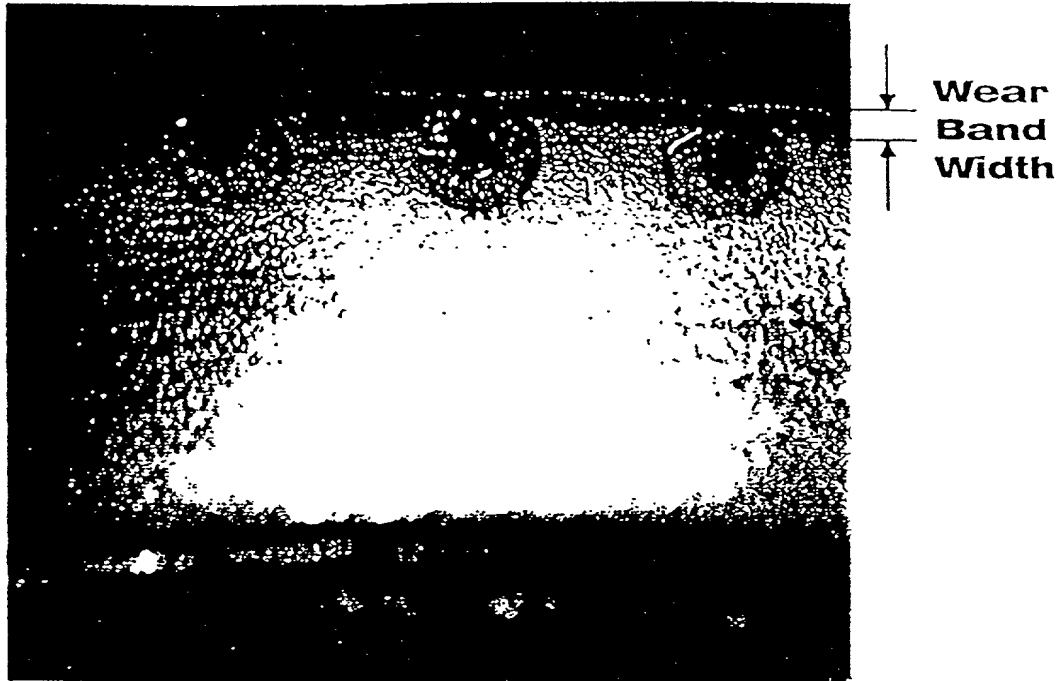
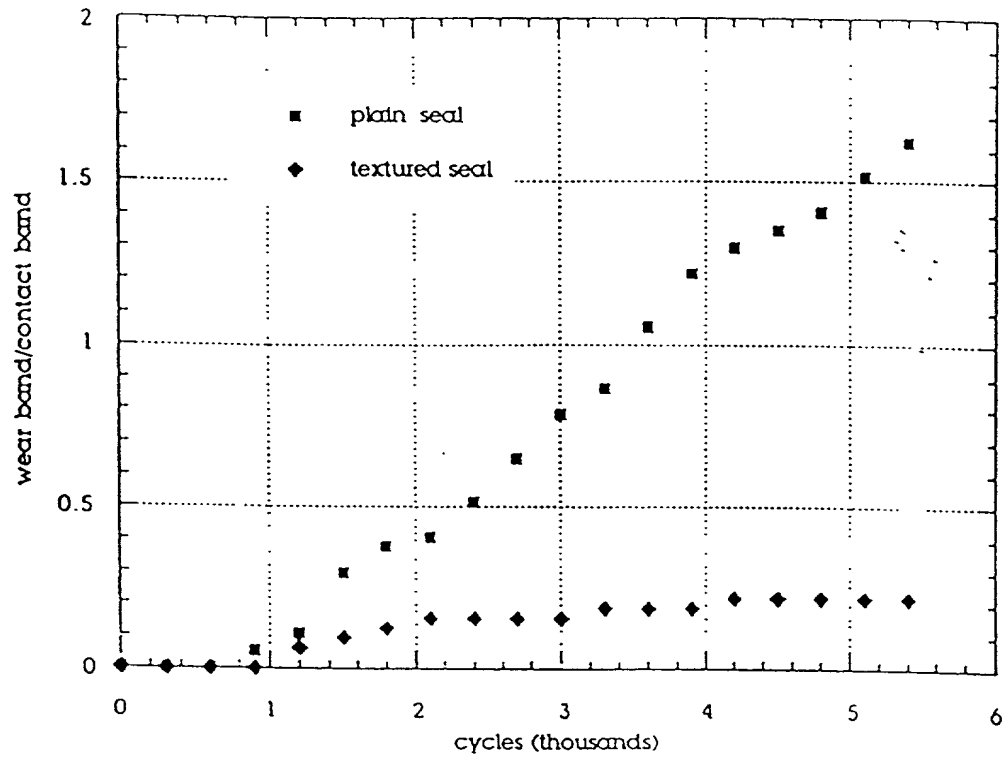


Fig-13-



Fig-14

DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name. I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **Textured Seal For Reduced Wear**, the specification of which is attached hereto. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>08/806,266</u>	<u>02/24/97</u>	<u>Pending</u>
<i>Application Serial No.</i>	<i>Filing Date</i>	<i>Patented, Pending or Abandoned</i>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole or First Inventor: **Douglas P. Hart**

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Full Name of Second Joint Inventor: **Mary C. Boyce**

Inventor's Signature: _____	Date: _____
Residence: <u>41 Calumet Road, Winchester, Massachusetts 01890</u>	Citizenship: <u>United States of America</u>
Post Office Address: <u>41 Calumet Road, Winchester, Massachusetts 01890</u>	

Full Name of Third Joint Inventor: **Hugo A. Ayala**

Inventor's Signature: _____	Date: _____
Residence: <u>218 Thorndike Street, Cambridge, Massachusetts 01890</u>	Citizenship: _____
Post Office Address: <u>218 Thorndike Street, Cambridge, Massachusetts 01890</u>	

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Douglas P. Hart *et al.* Group No.:
Serial No.: Examiner:
Filed: 07/17/00
Entitled: **Textured Seal For Reduced Wear**

POWER OF ATTORNEY BY ASSIGNEE

Assistant Commissioner for Patents
Washington, D.C. 20231

Massachusetts Institute of Technology, as Assignee of record of the entire interest of the above-identified patent application,
hereby appoints the members of the firm of MEDLEN & CARROLL, LLP, a firm composed of:

Virginia S. Medlen	(Reg. No. 32,050)	J. Mitchell Jones	(Reg. No. 44,174)
Peter G. Carroll	(Reg. No. 32,837)	David J. Wilson	(Reg. No. 45,225)
Kamrin T. MacKnight	(Reg. No. 38,230)	Jason R. Bond	(Reg. No. 45,439)
David A. Casimir	(Reg. No. 42,395)	Emily C. Tongco	(Reg. No. P-46,473)
Maha A. Hamdan	(Reg. No. 43,655)		

as its attorneys with full power of substitution to prosecute this application and transact all business in the Patent and Trademark Office
in connection therewith.

Please direct all future correspondence and telephone calls regarding this application to:

Peter G. Carroll
MEDLEN & CARROLL, LLP
220 Montgomery Street, Suite 2200 Telephone: 415/705-8410
San Francisco, California 94104 Facsimile: 415/397-8338

I hereby certify that the Assignment document filed with the application or filed subsequent to the filing date of the
application, has been reviewed and I hereby certify that, to the best of my knowledge and belief, title is with Massachusetts Institute
of Technology.

Dated: _____

By: _____

Name: _____

Title: _____

Massachusetts Institute of Technology
77 Massachusetts Avenue
Cambridge, Massachusetts 02139-4307